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**ANTONELLA FUSILLO**

(Name of person mailing paper or fee)

(Signature)

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371**Attorney's Docket No:  
**LANGHANS**INTERNATIONAL APPLICATION NO.  
**PCT/EP99/02724**INTERNATIONAL FILING DATE  
**April 22, 1999**PRIORITY DATE CLAIMED  
**April 22, 1998 &  
June 10, 1998**

## TITLE OF INVENTION

**RESONATOR ARRANGEMENT FOR SOLID STATE LASERS**

## APPLICANT(S) FOR DO/EO/US

**LUTZ LANGHANS & THOMAS RENNER**

**Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:**

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ Original or facsimile of an oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: International Search Report and Form PTO-1449/ Form PCT/IB/308

U.S. APPLICATION NO: (if known, see 37 CFR 1.5) <b>09/445990</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP99/02724</b>	ATTORNEY'S DOCKET NO. <b>LANGHANS</b>
17. [X] The following fees are submitted : BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):			
[X] For filing with EPO or JPO search report (37 C.F.R. 1.492(a)(5))			\$ 840.00
[ ] International preliminary examination fee paid to USPTO (37 C.F.R. 1.492(a)(1))			\$ 670.00
[ ] No international preliminary examination fee paid to USPTO (37 C.F.R. 1.492(a)(2)) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2))			\$ 760.00
[ ] Neither international preliminary examination fee paid to USPTO (37 C.F.R. 1.492(a)(3)) nor international search fee paid to USPTO (37 C.F.R. 1.445(a)(2))			\$ 970.00
[ ] International preliminary examination fee paid to USPTO (37 C.F.R. 1.492(a)(4)) and all claims satisfied provisions of PCT Articles 33(2)-33(4)			\$ 96.00
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			
Claims	Number Field	Rate	
Total Claims	11-20	x \$ 18.00	
Independent Claims	2-3	x \$ 78.00	
Multiple dependent claims (if applicable)		x \$260.00	
TOTAL OF ABOVE CALCULATIONS			<b>\$840.00</b>
Reduction by 1/2 for filing by small entity, if applicable. Verified small entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)			
SUBTOTAL			<b>\$840.00</b>
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date 37 CFR 1.492(f).			
TOTAL NATIONAL FEE			<b>\$840.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			<b>\$ 40.00</b>
TOTAL FEES ENCLOSED			<b>\$880.00</b>
Amount to be refunded			
charged			

a. [X] A check in the amount of **\$880.00** to cover the above fees is enclosed.

b. [ ] Please charge my Deposit Account No. **06-0502** in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **06-0502**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**Send all correspondence to:**

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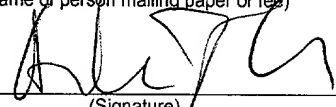
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: LANGHANS

In re Application of:	)
LUTZ LANGHANS & THOMAS RENNER	)
Int. Appl. No.: PCT/EP99/02724	)
Int. Filing Date: April 22, 1999	)
For: RESONATOR ARRANGEMENT FOR SOLID STATE LASERS	)

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Express Mail mailing label number. <b>EL07377793US</b>
Date of Deposit <b>December 16, 1999</b>
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
<b>ANTONELLA FUSILLO</b> (Name of person mailing paper or fee)
 (Signature)

S I R:

Preliminary to the first Official Action in the above-entitled application,  
please amend the application as follows:

**IN THE SPECIFICATION:**

Page 4, line 13, add the heading --BRIEF DESCRIPTION OF THE DRAWING--.

Page 5, line 18, add the heading --DETAILED DESCRIPTION OF  
PREFERRED EMBODIMENTS--.

Page 15, first line, delete completely, and after the heading "CLAIMS" and before  
the first claim add --What is claimed is:--.

**IN THE CLAIMS:**

**Amend** the following claims:

3. (Amended) The resonator according to the preamble of claim 1, characterized in that the rear mirror is convex, that the end of the laser rod facing the rear mirror is also convex, and that the output mirror is arranged in close proximity to the end of the laser rod[, preferably at a distance of less than approximately 10 mm].
5. (Amended) The resonator according to the preamble of claim 4, characterized in that the rear mirror is convex, that the end of the laser rod facing the rear mirror is planar, that the other end of the laser rod is convex, and that the output mirror is arranged in close proximity to the end of the laser rod[, preferably at a distance of less than approximately 10 mm].

6. (Amended) Resonator according to [one of the claims 1 to 5] claim 1, characterized in that the laser rod is a Nd:YAG, Er:YAG, Ho:YAG, Nd:glass rod.

**Add** the following claims:

7. (New) Resonator according to claim 3, characterized in that the laser rod is a Nd:YAG, Er:YAG, Ho:YAG, Nd:glass rod.
8. (New) Resonator according to claim 4, characterized in that the laser rod is a Nd:YAG, Er:YAG, Ho:YAG, Nd:glass rod.
9. (New) Resonator according to claim 5, characterized in that the laser rod is a Nd:YAG, Er:YAG, Ho:YAG, Nd:glass rod.
10. (New) The resonator according to the preamble of claim 3, characterized in that the output mirror is arranged at a distance of less than approximately 10 mm to the end of the laser rod.
11. (New) The resonator according to the preamble of claim 5, characterized in that the output mirror is arranged at a distance of less than approximately 10 mm to the end of the laser rod.

## REMARKS

This Amendment is submitted preliminary to the issuance of an Office Action in the present application.

Applicant has amended claims 3, 5, 6 to delete any reference to "preferably" and to eliminate the multiple dependency of claim 6. Claims 7 to 11 have been added to set forth features deleted from the amended claims. In addition, applicant has amended the specification to present it in proper form and language. Especially, applicant has provided the specification with proper headings.

When the Examiner takes this application up for action, he is requested to take the foregoing into account.

The Commissioner is hereby authorized to charge fees which may be required, or credit any overpayment to Deposit Account No. 06-0502.

Respectfully submitted,

By: 

Henry M. Feiereisen  
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# RESONATOR ARRANGEMENT FOR SOLID STATE LASERS

## TECHNICAL FIELD AND STATE-OF-THE-ART

During the past years, Nd:YAG welding lasers have found increasing applications in the areas of jewelry and dentistry. These so-called handheld welding lasers are suitable to perform precise point and seam welding in the sub-millimeter region. They have not only the advantage of providing solder-free joints, but also protect the workpiece as compared to the conventional flame welding technique. A typical construction of devices presently on the market is illustrated in FIG. 1. The conventional "classic" resonators are mostly constructed in the manner illustrated in FIG. 2a and have a planar output mirror 2 and a concave reflecting mirror 3. The Nd:YAG laser rod 1 is located approximately in the center between the mirrors 2 and 3.

The exiting laser beam 4 is focused onto the work plane 8 by a beam expander 5 with an adjustable divergence, a turning mirror 6 (for example, 1064 nm - HR, visible range - AR) and a focusing lens 7.

All devices encounter problems associated with "thermal lensing" of the Nd:YAG rod and the "initial pulse characteristics" associated therewith. Pumping with a flash lamp and water cooling produces a radial temperature profile in the Nd:YAG rod, which is transformed by the characteristic material constant  $dn/dT$

into a refractive index profile and thereby into a lensing effect. Depending on the injected pump energy and the cooling provided by the cooling water, respectively, this lensing effect depends on the pump power. FIG. 3 illustrates the initial pulse characteristics for a state-of-the-art "classic resonator", wherein the spot size at the focal point is simulated without beam expansion as a function of the pump power, i.e. with varying thermal lensing effect and for different radii of the reflecting mirror. The increase of the focal diameter with increasing pump power is clearly seen. Smaller radii of curvature of the reflecting mirror lead to a smaller relative change, but to overall larger values of the focal diameter. For single pulses or for initial pulses (low pump power), the lensing effect is still small. For continuous pulses (high pump power) and a predetermined frequency the lens increases to a value which depends on the average pump power in continuous operation. This lensing effect affects the beam quality and thereby also the spot size in the work plane as well as (to a lesser degree) the pulse energy. The user who is mainly interested in the energy density, i.e. the pulse energy divided by the spot size, will recognize this phenomenon as a strongly variable welding outcome which depends on the welding history.

One possibility to avoid this problem is to transmit the laser beam through a sufficiently long glass fiber. Since the glass fiber does not preserve the diameter of the beam, the beam which is coupled out typically has a constant diameter and an approximately constant divergence. However, this approach degrades the beam quality, so that the focusing unit has to be adapted



1 accordingly. Moreover, the so-called "benign behavior" of the welding process  
2 suffers, since the depth of focus in the work plane is reduced. Another possibility  
3 to avoid this problem is to use a stronger beam expansion before the beam  
4 splitter and to also work outside the focusing range, where the image of the rod  
5 surface remains approximately constant. This approach also reduces the initial  
6 pulse characteristics. However, the "benign behavior" is again adversely affected  
7 (depth of focus of the laser focusing system in the work plane).

8  
9 The publication by MAGNI, V. et al.: "Recent Developments In Laser  
10 Resonator Design" in Optical and Quantum Electronics 23, 1991, pp. 1105-1134,  
11 in particular page 1106, second paragraph, describes additional measures to  
12 counteract or even compensate the effect of thermal lensing. These conventional  
13 measures, however, are only effective at a specified value of the pump power.

## 14 SUMMARY OF THE INVENTION

15  
16  
17 It is an object of the invention to provide a stable resonator which is stable  
18 over an extended range of the pump power against the effects caused by thermal  
19 lensing, rather than only at a specific value of the pump power. The resonator  
20 according to the invention should also reduce the initial pulse characteristics  
21 below the detection limit of the user, while at the same time maintaining the  
22 "benign behavior", i.e. the depth of focus, of the laser.

1           The solution of this object is provided by resonators as described in the  
 2   commensurate claims 1, 3, 4 and 5. The applicant has realized that, unlike in  
 3   state-of-the-art devices, the beam quality as a function of the pump power has a  
 4   comparably flat maximum for relatively short resonator lengths due to the  
 5   extremely asymmetric construction of the resonators according to the invention.  
 6   Accordingly, the applicant achieves a comparably constant beam quality over a  
 7   larger pump power range. As a result, the thermal lensing effect has no effect or  
 8   only an insignificant effect on the welding result; the characteristics features of  
 9   the initial pulse are negligibly small. While the laser rod in the embodiments  
 10   recited in the commensurate claims 1 and 4 is completely displaced towards the  
 11   output side, in the other advantageous embodiments recited in claims 3 and 5  
 12   the laser rod may be located at a very short distance from the output mirror.

13  
 14           The invention will be described hereinafter with reference to the Figures  
 15   and diagrams showing calculated and measured values. It is shown in:

- 16  
 17           FIG. 1           a Nd:YAG welding laser according to the state-of-the-art;  
 18  
 19           FIG. 2a          a classic resonator according to the state-of-the-art;  
 20  
 21           FIG. 2b          a first embodiment of a resonator according to the invention  
 22   (so-called "sweet-spot"-resonator I);  
 23

1           FIG. 2c       a second embodiment of a resonator according to the  
 2 invention (so-called "sweet-spot"-resonator II);

3

4           FIG. 3       a simulation of the initial pulse characteristics for a state-of-  
 5 the-art classic resonator;

6

7           FIG. 4       the focus diameter of a state-of-the-art resonator (upper  
 8 curve) and of a "sweet-spot"-resonator of the invention (lower curve) as a  
 9 function of the pump power (simulation);

10

11          FIG. 5       the focus diameter of a state-of-the-art resonator (upper  
 12 curve) and of a "sweet-spot"-resonator of the invention for different laser rods  
 13 (lower four curves) as a function of the pump power (measured values);

14

15          FIG. 6       the beam quality M as a function of the pump power in multi-  
 16 mode operation for a resonator arrangement with  $L = 650 \text{ mm}$ ,  $R = \text{infinite}$ ,  
 17  $f = \text{infinite}$  (curve 1), and  $f = 1800 \text{ mm}$  (curve 2)

18

19          According to FIG. 2b, the resonator of the invention has an extremely  
 20 asymmetric configuration. The laser rod 1 is planar on the output side and  
 21 completely displaced towards the output side, wherein the planar rod end is  
 22 provided with a semi-reflecting layer 10 to couple out the laser beam 4.

23

1        The other rod end 11 has a convex curvature and operates as a focusing  
2        lens in the resonator. The rear reflecting mirror 12 also has a convex curvature.  
3        This special arrangement of the components in conjunction with a suitable  
4        arrangement of the resonator lengths and the respective radii of curvature  
5        provides a resonator which produces a small focus with a short overall length,  
6        wherein the focus is almost independent of the frequency and the pump power,  
7        respectively. The components may be arranged as follows:

8

9        Length of the resonator: 290 mm

10       Radius of curvature of the rear mirror: 0.1 m convex (cvx)

11       Radius of curvature of the Nd:YAG rod: 0.22 m convex (cvx)

12       Length of Nd:YAG rod: 90 mm

13       The measured values illustrated in FIG. 5 relate to the aforescribed resonator.

14

15        FIG. 4 shows a simulated comparison between a "classic" resonator  
16        (upper curve) and the novel "sweet spot" resonator according to FIG. 2b (lower  
17        curve). As seen in FIG. 4, the "sweet spot" resonator not only has an  
18        approximately constant focus diameter, whereas the focus diameter of the  
19        "classic" resonator increases with increasing pump power and therefore also with  
20        increasing thermal lensing effect; moreover, the focus diameter is significantly  
21        smaller across the entire range. The calculated results are supported by the  
22        measurements shown in FIG. 5 (1 "state-of-the-art" rod, 4 different rods of the  
23        "sweet spot" resonator according to the invention). The measurements were

1 taken with a CCD camera; other data are: focusing lens with  $f = 116$  mm,  
2  $P_{\max} = 1.5$  kW and a distance from the focusing lens to the end of the  
3 rod = 450 mm.

4  
5 Similar results can be all obtained with slightly altered parameters (radius  
6 of curvature & resonator length).

7  
8 The relevant feature is that at the exemplary pump powers the resonator  
9 length can be reduced to a value significantly less than 500 mm by using two  
10 convex radii (rear mirror & rod).

11  
12 Using a commercially available computer program for resonators and  
13 based on the observations by the applicant, that the welding result are unaffected  
14 by thermal lensing effects over a large range of pump power in particular with an  
15 extremely asymmetric resonator, additional resonator configurations can be  
16 determined as follows.

17  
18 The beam quality  $M2$  is computed with the computer program for  
19 resonators and the function of the pump energy and is printed as a curve  
20 (FIG. 6). ( $M2$  is used instead of  $M^2$ )

21  
22 1. The program is initiated with an extremely asymmetric planar-planar  
23 resonator configuration, i.e., the laser rod is not curved (corresponds to an

1 internal lens with a focal length  $f = \text{infinite}$ , and the radii of curvature of both  
2 the rear mirror and the output mirror are also  $R = \text{infinite}$ ; with these boundary  
3 conditions, the beam quality  $M2$  is calculated as a function of pump energy for  
4 different values of the resonator length  $L$  and a specific value of  $L$  ( $L_0$ ) is  
5 determined, where the beam quality has the desired maximum value (in the  
6 present example, the maximum value is set to be  $M2=25$ , which is a typical  
7 value for laser welding applications). The position of the maximum does not  
8 change when  $L$  is varied. The curve, however, bulges upwardly (for values  
9 of  $L$  less than  $L_0$ ) or collapsed downwardly (for values of  $L$  greater than  $L_0$ ).

- 10
- 11 2. If the maximum of the beam quality  $M2$  is not located in the range of pump  
12 powers where the system is to be operated according to the application-  
13 specific conditions, as in the aforescribed asymmetric planar-planar  
14 resonator configuration, then the radius of curvature of the end of the laser  
15 rod which faces the rear mirror, decreases from planar, i.e.,  $R(\text{rod}) = \text{infinite}$ ,  
16 and becomes finite. The lens formed by the curved surface can also be  
17 employed to characterize the resonator instead of the radius of curvature of  
18 the end of the laser rod. The focal length of the curved end of the laser rod  
19 therefore varies from planar, i.e.  $f = \text{infinite}$  and decreases to finite values.  
20 Accordingly, different  $f$ -values are entered into the computer program,  
21 wherein the maximum shifts to the left to smaller pump powers with  
22 decreasing  $f$ -values. The value  $f_0$ , for which the maximum of the curve is  
23 located in the center of the desired pump power range, is determined by trial

1 and error. In the present example, the center of the desired pump power  
2 range is approximately 1 kW, ranging from 0 to 2 kW.

3

4 3. If a shorter resonator is to be used with the same value of  $M2 = 25$  to attain a  
5 more compact system (as is usually the case), then the beam quality  $M2$  is  
6 again calculated, as under item 1 above, for a planar-planar resonator, i.e.,  
7 the laser rod is not curved (corresponds to an internal lens with a focal length  
8 of  $f = \text{infinite}$ ) and the radii of curvature of the rear mirror and of the output  
9 mirror are infinite. Since  $L$  is now smaller than  $L_0$ , the maximum is now  
10 greater than  $M2 = 25$  (see item 1 above, last sentence). The radius  $R$  of the  
11 rear mirror is now reduced until the maximum is again at 25.

12

13 4. The focal length of the curved end of the laser rod is then reduced according  
14 to item 2, until the maximum falls again in the center of the desired pump  
15 power range, in the present example approximately 1 kW, ranging from  
16 0 to 2 kW. The result is a novel resonator with the desired characteristics.

17

18 With a similar process, additional resonator arrangements can be  
19 determined for  $M2 = 25$  by adjusting  $R$  for the desired  $L$  so that the maximum  
20 is  $= 25$  and by shifting the location of the maximum by varying  $f$ . Accordingly, the  
21 respective values of  $R$  and  $f$  can be determined for other values of  $M2$  and the  
22 desired resonator lengths  $L$ .

23

1 In the following, several values are listed for a "sweet spot" resonator  
 2 according to the invention, wherein the rod has a length of 85 mm. Other rod  
 3 lengths give slightly different results.

4

L	f	R
Resonator length (mm)	Focal length of the internal lens (mm)	Radius of the rear mirror (mm)
650	1800	- ∞
320	325	-170
290	270	-100
265	230	-85
215	155	-40

5

6 The values in the table can be described empirically by the following  
 7 formulas:

8

9  $R = \text{const} \times (L/L_0 - L)^2$

10  $f = f_0 \times (L/L_0)^{2.4}$

11

12 In a second embodiment shown in FIG. 2c, the "sweet spot" resonator II of  
 13 the invention is constructed so that the laser rod 1 is planar on the side facing the  
 14 convex rear mirror 12, and is convex and semi-reflecting on the opposite side  
 15 which is the output side. This embodiment has the same advantages as the



1 "sweet spot" resonator I illustrated in FIG. 2b. With a corresponding design of the  
2 resonator length and the respective radii of curvature, this special arrangement of  
3 the components also provides a resonator which has a short overall length and  
4 produces a small focus which is substantially independent of the frequency and  
5 the pump power.

6

7 In the following, several value are listed for a "sweet spot" resonator II  
8 according to the invention (rod length = 85 mm).

9

L	A	R
Resonator length (mm)	Radius of the output mirror or rod end (mm)	Radius of the rear mirror (mm)
650	2000	- $\infty$
320	400	-240
290	350	-175
265	300	-140
215	215	-70

10

11 The table was created in the same manner as for "sweet spot" resonator I.

12

13 The beam quality M2 is calculated using a commercially available computer  
14 program for resonators as a function of the pump energy and printed as a curve.

15

1 1. The length  $L_0$  is determined for a planar-planar resonator configuration with  
2  $A = \infty$ , so that the maximum value of  $M_2$  assumes a predetermined value  
3 (in this case  $M_2 = 25$ ).

4

5 2. The value for  $A_0$  is then determined in such a way that the maximum value of  
6 the curve is located in the center of the desired pump power range (in this  
7 case at 1 kW, range 0-2 kW).

8

9 This completes the first line.

10 If shorter resonators with the same properties are desired, then the following  
11 process applies:

12

13 3. The  $M_2$  curve is calculated for a shorter length  $L < L_0$ , and a curve with a  
14 maximum value of greater than 25 is obtained. The value for  $R$  is then  
15 lowered until the maximum is again located at 25.

16

17 4. The value for  $A$  is then lowered until the maximum is again located at the  
18 center of the desired range.

19

20 This process produces a new resonator with the desired features. In this  
21 way, respective values of  $R$  and  $A$  can be determined for each specified value of  
22  $M_2$  and  $L$ .

23

1           These values can also be determined empirically by the following  
2 formulae:

3

4  $R = C \times (L/L_0 - L)^{1.9}$             $C = -254$

5  $A = A_0 \times (L/L_0)^{2.1}$

6

7           It should be noted that the pulse energy is also almost independent of the  
8 history of the pump power. Accordingly, both the focus diameter and the energy  
9 density remain constant, which is of paramount interest for the user.

10

11           The preferred field of application of this invention are Nd:YAG welding  
12 lasers with a resonator length of less than 500 mm and a maximum average  
13 pump power of up to 2 kW (this corresponds to approximately 60 W average  
14 laser power).

## LIST OF REFERENCE NUMERALS

- 1 Nd:YAG laser rod
- 2 output mirror
- 3 concave rear mirror
- 4 laser beam
- 5 beam expansion with divergence adjustment
- 6 turning mirror
- 7 focusing lens
- 8 focusing plane of the observation (work plane)
- 9 observation direction
- 10 semi-reflecting layer
- 11 convex end of the rod
- 12 convex rear mirror

## RESONATOR ARRANGEMENT FOR SOLID-STATE LASERS

### CLAIMS

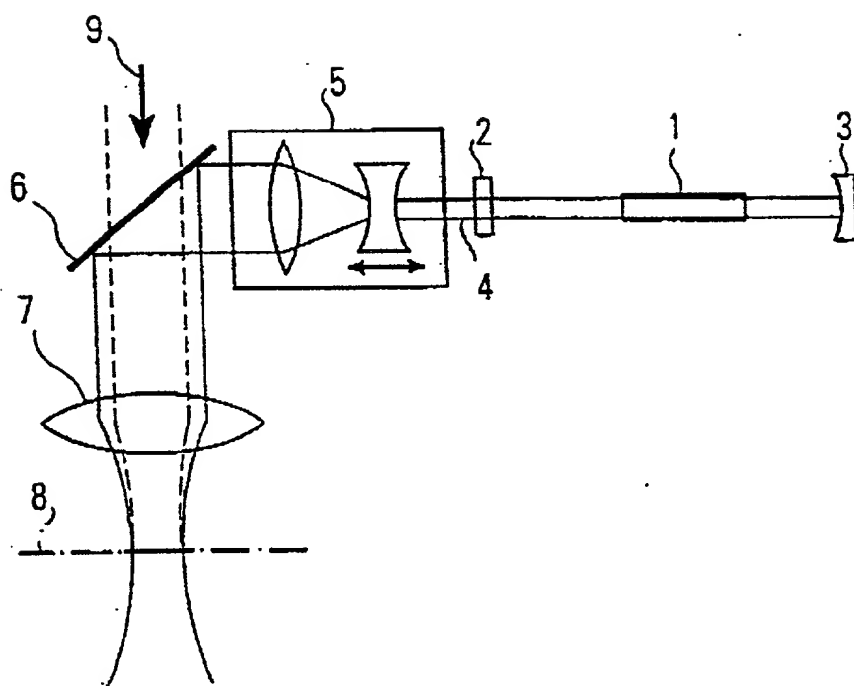
- 1 1. Stable resonator for solid-state lasers which exhibit a thermally induced  
2 lensing effect, with a laser rod, a rear mirror and a semi-reflecting output  
3 mirror, characterized in that the rear mirror is convex, that the end of the laser  
4 rod facing the rear mirror is also convex, and that the output mirror is formed  
5 by the other end of the laser rod, wherein this end is semi-reflecting.
- 1 2. The resonator according to claim 1, characterized in that the semi-reflecting  
2 end of the laser rod is formed planar.
- 1 3. The resonator according to the preamble of claim 1, characterized in that the  
2 rear mirror is convex, that the end of the laser rod facing the rear mirror is  
3 also convex, and that the output mirror is arranged in close proximity to the  
4 end of the laser rod, preferably at a distance of less than approximately 10  
5 mm.
- 1 4. Stable resonator for solid-state lasers which exhibit a thermally induced  
2 lensing effect, with a laser rod, a rear mirror and a semi-reflecting output  
3 mirror, characterized in that the rear mirror is convex, that the end of the laser  
4 rod facing the rear mirror is planar, that the other end of the laser rod is  
5 convex, and that the output mirror is formed by the other end of the laser rod,  
6 wherein this end is semi-reflecting.

1 5. The resonator according to the preamble of claim 4, characterized in that the  
2 rear mirror is convex, that the end of the laser rod facing the rear mirror is  
3 planar, that the other end of the laser rod is convex, and that the output mirror  
4 is arranged in close proximity to the end of the laser rod, preferably at a  
5 distance of less than approximately 10 mm.

1 6. Resonator according to one of the claims 1 to 5, characterized in that the  
2 laser rod is a Nd:YAG, Er:YAG, Ho:YAG, Nd:glass rod.

## ABSTRACT

A stable resonator for solid-state lasers which exhibit a thermally induced lensing effect, includes a laser rod, a rear mirror and a semi-reflecting output mirror. The invention is characterized in that the rear mirror has an extremely asymmetrical configuration, allowing the laser rod to move totally or almost totally toward the side of the output mirror. The laser rod is curved in a convex manner on one end in order to achieve a refractive effect, and a convex rear mirror is provided. As a result of the extreme asymmetry, the resonator has a beam quality as a function of the pump power with a comparably flat maximum even at relatively short resonator lengths in contrast to the state of the art. The effects of the thermal lens have practically no influence on processing results. Starting pulse behavior lies below the detection limit.

**FIG.1**



**FIG.2a**

Prior Art

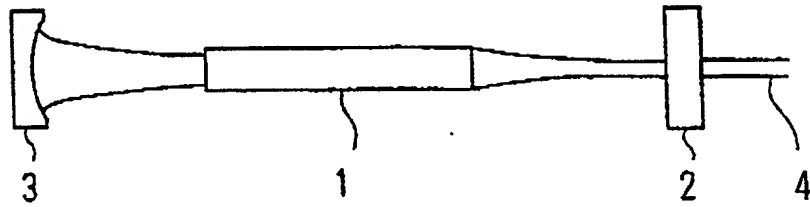
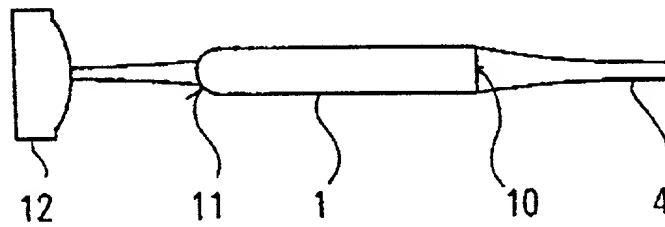
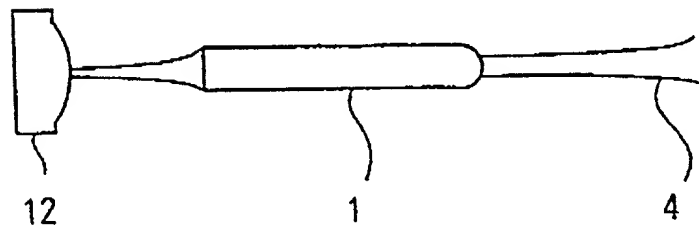
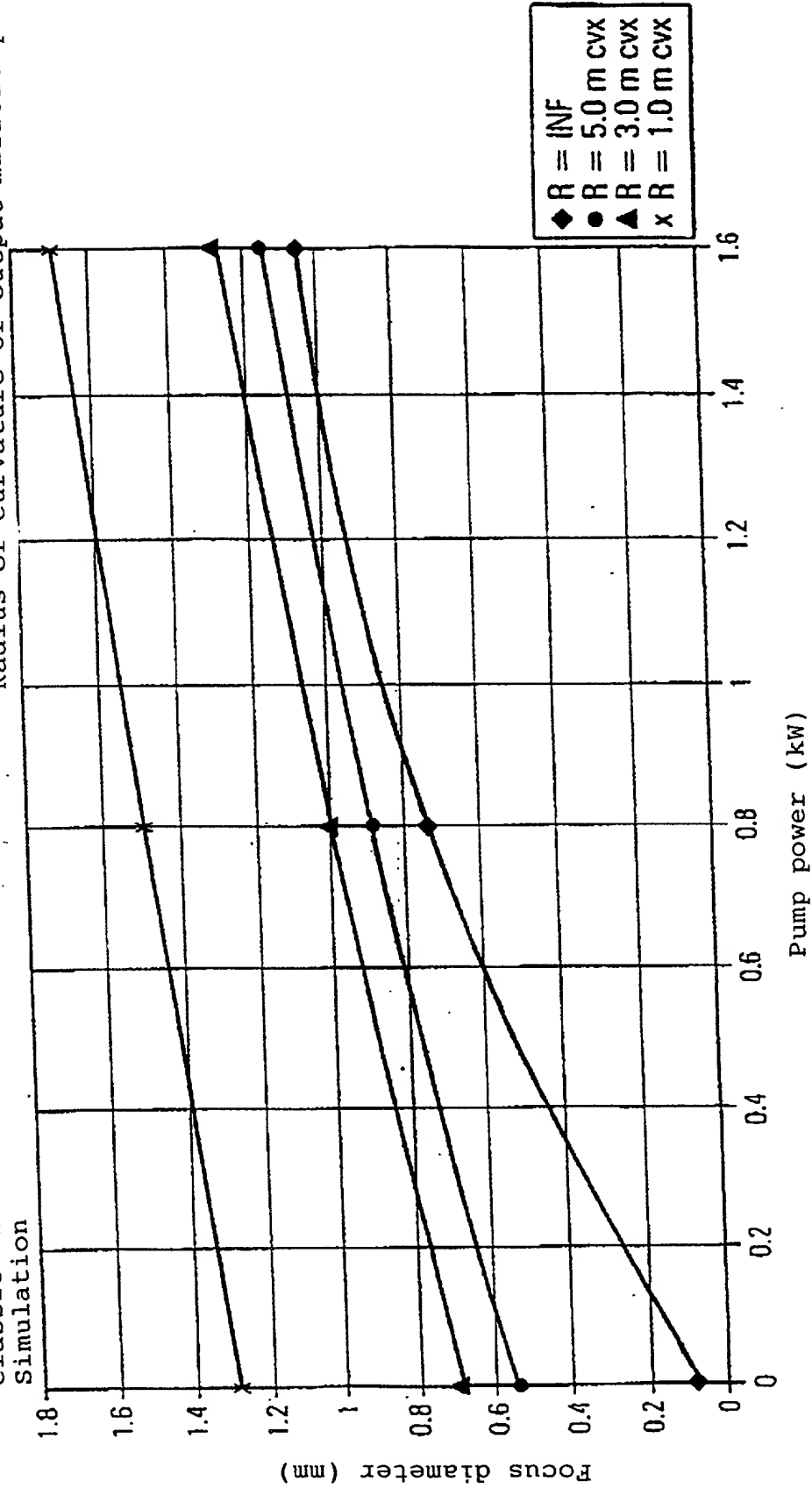
**FIG.2b****FIG.2c**

FIG.3

Initial pulse characteristics for a  
"classic" resonator (prior art)

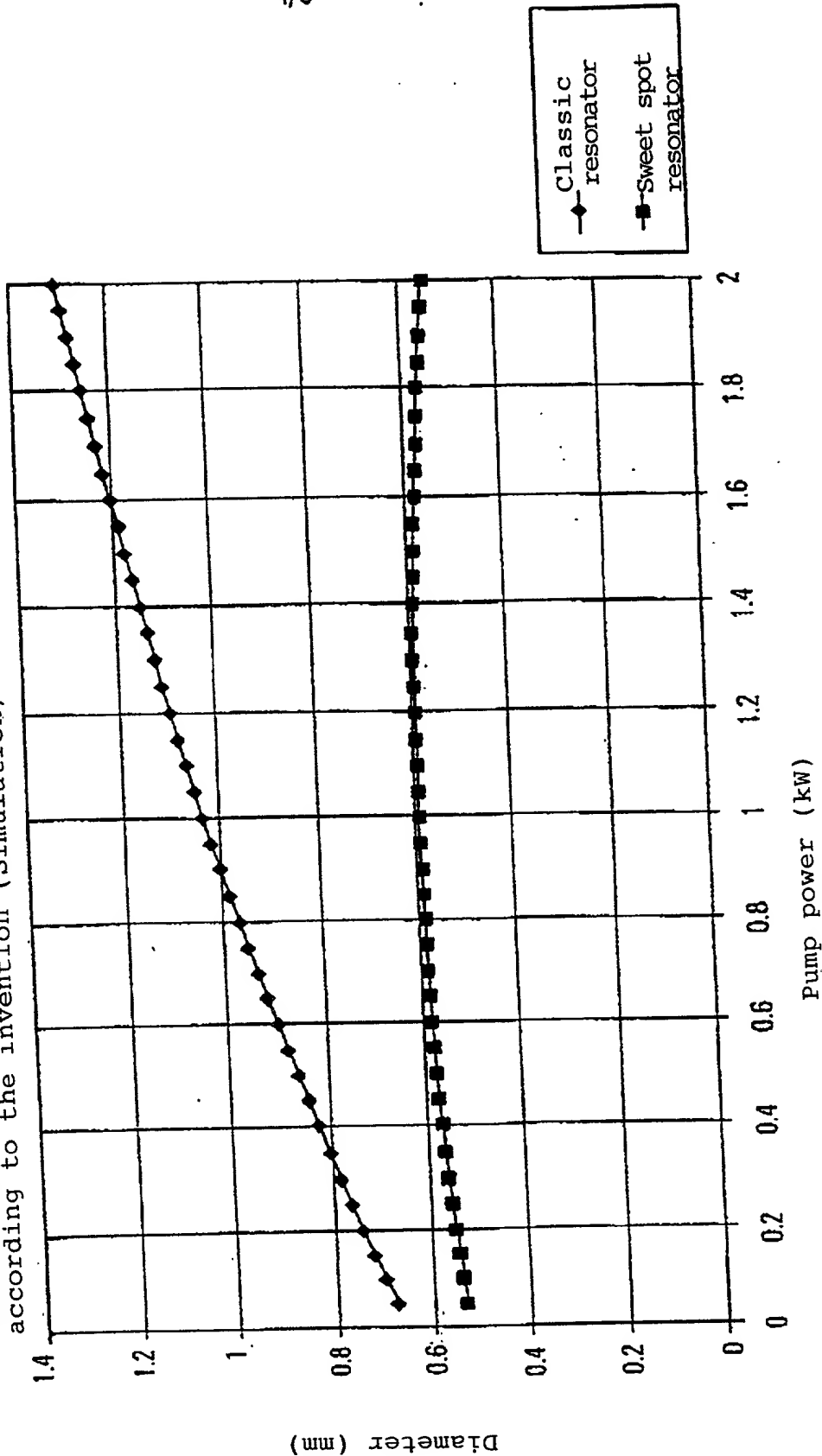
Simulation

Focusing lens:  $f = 116$  mm  
Distance Focusing lens-end of resonator: 285 mm  
Resonator length: 325 mm  
Rod length: 90 mm  
Radius of curvature: rear mirror: see legend  
Radius of curvature of output mirror: planar



**FIG.4**

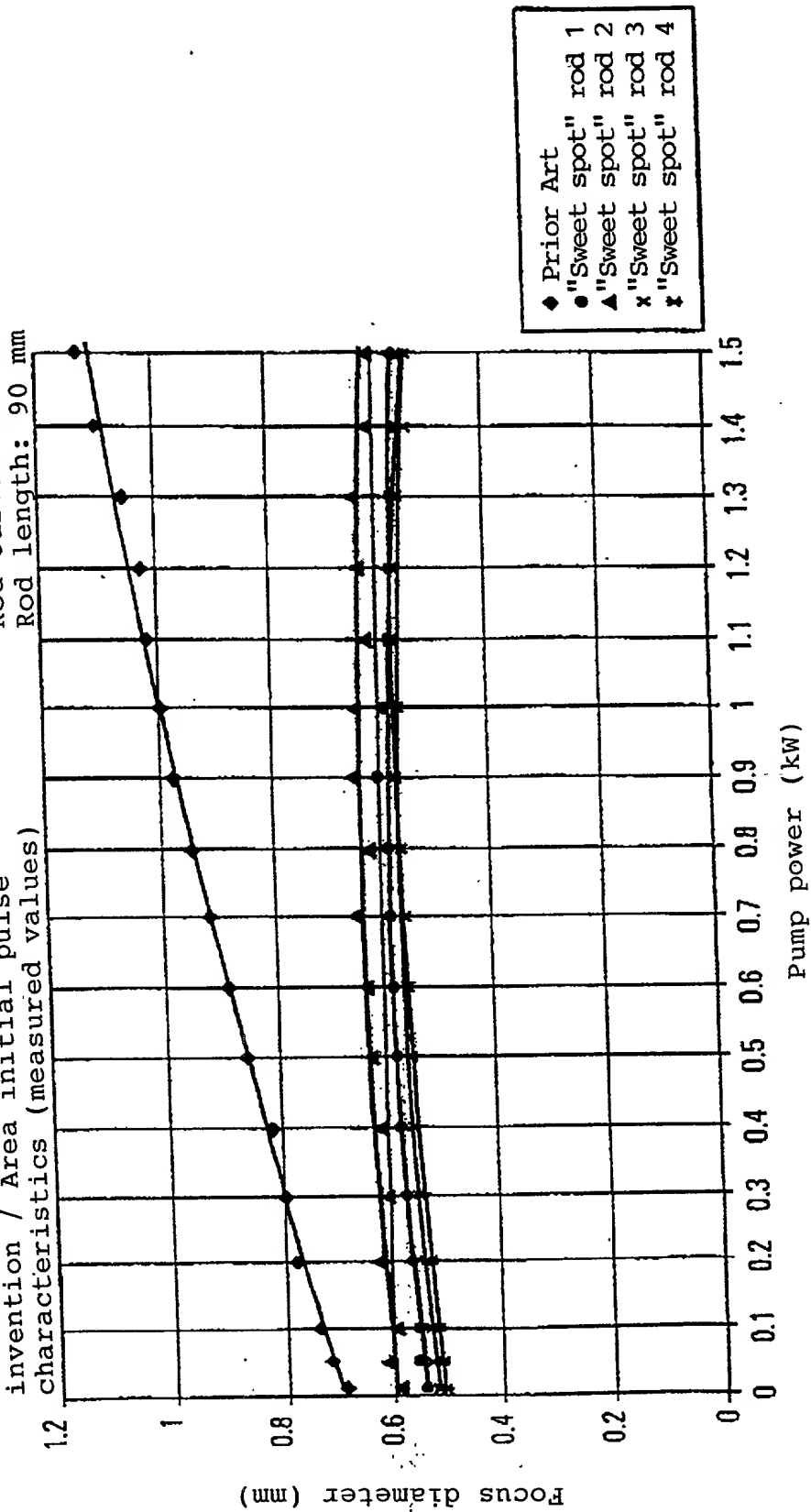
Comparison of a prior art resonator with a resonator according to the invention (Simulation)



Measured with CCD camera  
 Focusing lens:  $f = 116$  mm  
 $P_{max} = 1.5$  kW  
 Distance Focusing lens-rod end: 450 mm  
 Resonator length: 290 mm  
 Rear mirror:  $R = 0.1m$  (cvx)  
 Rod curvature: 0.22m (cvx)  
 Rod length: 90 mm

**FIG.5**

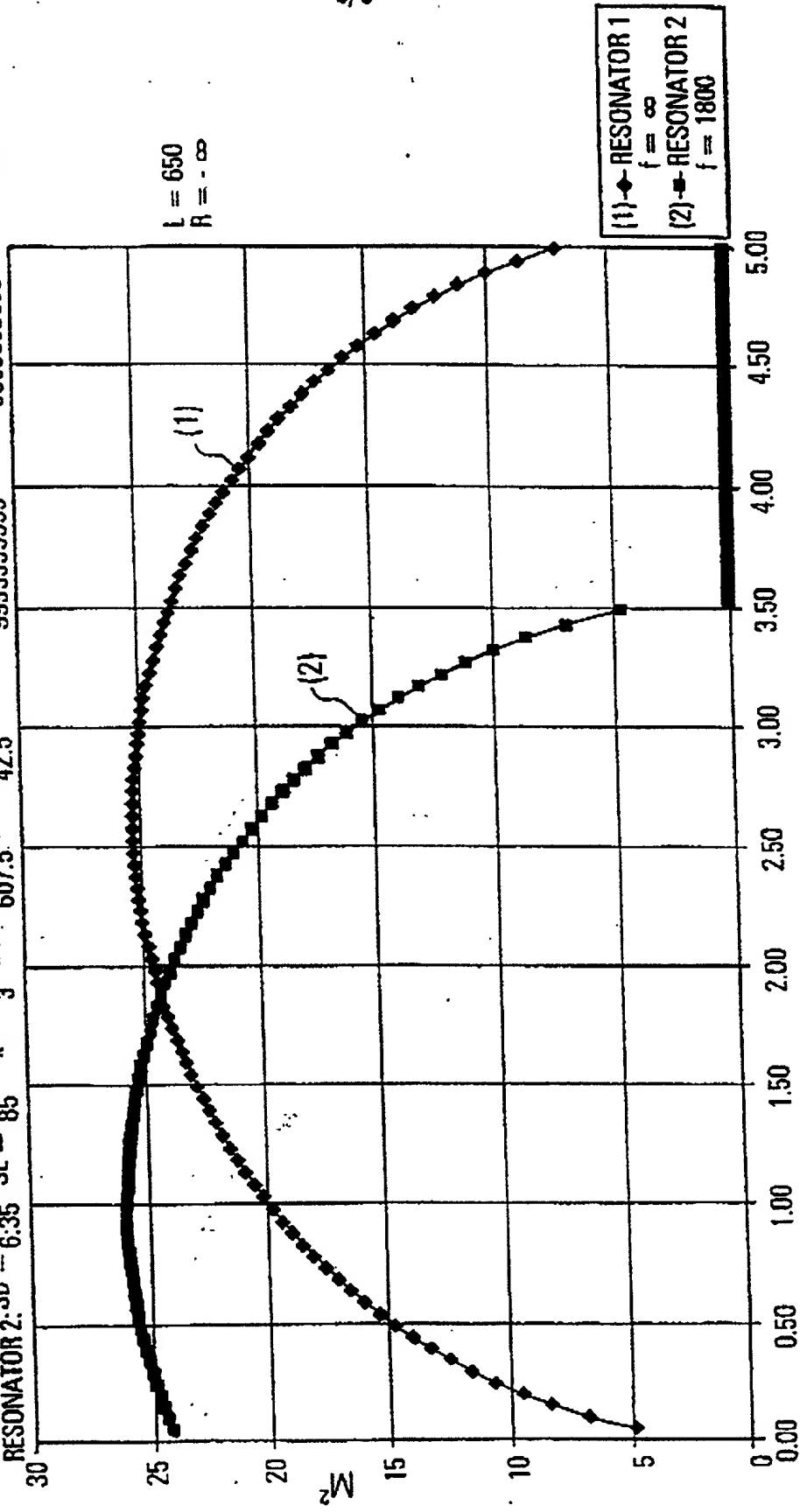
Sweet spot resonator according to the  
 invention / Area initial pulse  
 characteristics (measured values)



**FIG.6**

Multi-mode output side: M<sup>2</sup>

RESONATOR 1: SD = 6:35 85 k = 3 D1 = 607.5' D2 = 42.5 AS = 9999999999 D3 = 0 f = 0  
 RESONATOR 2: SD = 6:35 SL = 85 RS = 9999999999



Pump power (kW)  
 Resonator with lens between rear mirror and rod (D3=0 and f=0 represents calculation without lens)

**VERIFICATION OF A TRANSLATION**

I, HENRY M. FEIEREISEN, resident of the United States, having a place of business at 350 Fifth Avenue, Suite 3220, New York, N. Y. 10118, depose and state that:

1. I am familiar with the English and German languages.
2. I have read the attached German language international patent application PCT/EP/02724.
3. The hereto attached English language text is an accurate translation thereof.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

  
\_\_\_\_\_  
Henry M. Feiereisen

Date:

# Declaration and Power of Attorney for Patent Application

## Erklärung für Patentanmeldungen mit Vollmacht

### German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

daß mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen,

daß ich, nach bestem Wissen, der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent beantragt wird für die Erfindung mit dem Titel:

#### RESONATORANORDNUNG FÜR FESTKÖRPERLASER

deren Beschreibung  
(zutreffendes ankreuzen)

- ☐ hier beigelegt ist.  
☒ wurde angemeldet am 22. April 1999  
 unter der U.S.-Anmeldungs Nr. oder unter der  
 Internationalen Anmeldenummer im Rahmen des  
 Vertrags über die Zusammenarbeit auf dem  
 Gebiet des Patentwesens (PCT)  
PCT/EP99/02724 und am  
 \_\_\_\_\_ abgeändert (falls  
 zutreffend).

Ich bestätige hiermit, daß ich den Inhalt der obigen Patentanmeldung einschliesslich der Ansprüche durchgesehen und verstanden habe, die eventuell durch einen Zusatzantrag, wie oben erwähnt, abgeändert wurde.

Ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen an, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Titel 37, Code of Federal Regulations, §1.56 von Belang sind.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Titel 35, US-Code, §119(a)-(d), bzw. §365(b) aller unten angegebenen Auslandsanmeldungen für ein Patent oder Erfinderurkunden, oder §365(a) aller PCT internationalen Anmeldungen, welche wenigstens ein Land ausser den Vereinigten Staaten von Amerika benennen, und habe nachstehend durch ankreuzen sämtliche Auslandsanmeldungen für Patente oder Erfinderurkunden oder PCT internationale Anmeldungen angegeben, deren Anmeldetag dem der Anmeldung, für welche Priorität beansprucht wird, vorangeht.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### RESONATOR ARRAY FOR SOLID-STATE LASERS

the specification of which  
(check one)

- ☐ is attached hereto  
☒ was filed on 22 April 1999  
 as United States Application Number or PCT  
 International Application Number  
PCT/EP99/02724, and was amended on  
 \_\_\_\_\_  
 (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Applications  
(Frühere ausländische Anmeldungen)

Priority Claimed?  
Priorität beansprucht?

198 17 848.4 Germany 22/April/1998  
(Number) (Country) (Day/Month/Year Filed)  
(Nummer) (Land) (Tag/Monat/Jahr eingereicht)

☒ [ ]  
Yes No  
Ja Nein

198 25 827.5 Germany 10/June/1998  
(Number) (Country) (Day/Month/Year Filed)  
(Nummer) (Land) (Tag/Monat/Jahr eingereicht)

☒ [ ]  
Yes No  
Ja Nein

Ich beanspruche hiermit gemäss Titel 35, US-Code, §119(e), den Vorzug aller unten aufgeführten US-Hilfsanmeldungen

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) below

(Application No. / Anmeldendr.)

(Filing Date / Anmeldedatum)

(Application No. / Anmeldendr.)

(Filing Date / Anmeldedatum)

Ich beanspruche hiermit gemäss Titel 35, US-Code, §120, den Vorzug aller unten aufgeführten US-Patentanmeldungen bzw. §365(c) aller PCT internationalen Anmeldungen, welche die Vereinigten Staaten von Amerika benennen, und erkenne, insofern der Gegenstand eines jeden früheren Anspruchs dieser Patentanmeldung, bzw. PCT internationalen Anmeldung in einer gemäß dem ersten Absatz von Titel 35, US-Code §112 vorgeschriebenen Art und Weise offenbart wurde, meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Titel 37, Code of Federal Regulations, §1.56 von Belang sind und im Zeitraum zwischen dem Anmeldedatum der früheren Patentanmeldung und dem nationalen oder im Rahmen des Vertrags über die Zusammenarbeit auf dem Gebiet des Patentwesens (PCT) gültigen internationalen Anmeldedatum bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Appl. No.)  
(Anmeldendr.)

(Filing Date)  
(Anmeldedatum)

(Status)  
(patentiert, anhängig  
aufgegeben)

(Status)  
(patented, pending  
abandoned)

(Appl. No.)  
(Anmeldendr.)

(Filing Date)  
(Anmeldedatum)

(Status)  
(patentiert, anhängig  
aufgegeben)

(Status)  
(patented, pending  
abandoned)

Ich erkläre hiermit, daß alle von mir in der vorliegenden Erklärung gemachten Angaben nach meinem besten Wissen und Gewissen der vollen Wahrheit entsprechen, und daß ich diese eidesstattliche Erklärung in Kenntnis dessen abgebe, daß wissenschaftlich und vorsätzlich falsche Angaben gemäss §. 1001, Titel 18 US-Code strafbar sind und mit Geldstrafe und/oder Gefängnis bestraft werden können, und daß derartig wissenschaftlich und vorsätzlich falsche Angaben die Rechtswirksamkeit der vorliegenden Patentanmeldung oder eines darauf erteilten Patentes gefährden können.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



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**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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Full name of first inventor

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Date

*Lutz Langhans*

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